

Important

This form must be completed by the insurer in order to notify the *Autorité des marchés financiers* ("AMF") of claims made under a professional liability insurance. **Please complete one form per claim**.

If no claims were made, the insurer must complete a sworn statement to that effect.

This obligation is set out under section 17 of the Regulation respecting the pursuit of activities as a representative (R.R.Q., c. D-9.2, r.10) and section 29 of the Regulation respecting firms, independent representatives and independent partnerships (R.R.Q., c. D-9.2, r. 2).

PART 1 – IDENTIFICATION

| Name of insured | | |
|------------------------------|--|-----------------------|
| Name of insurer | | |
| Person handling the claim | | |
| Policy No. | Master contract number (if applicable) | |
| Claim No. | Date of claim: | / / year month day |
| Amount of claim: | | |
| Reason for claim: | | |

| PART 2 – DECLARATION | | | | | | |
|--|---------------|--|--------------|------|-----------------------|--|
| I declare that the information provided in this form is accurate and complete. | | | | | | |
| Mr. 🖵 Ms. 🖵 | First name | | Last name | | | |
| | | | | | | |
| Signature | | | | Date | / / year month day | |

Information Centre Toll-free: 1-877-525-0337 Québec City: 418-525-0337 Montréal: 514-395-0337 Conformité_Avis réclamation_Avril 2013 Page 1 of 1 The AMF accepts forms sent by **mail** only.

Forms sent by e-mail will **not** be accepted.

Please send your form to the following address:

Autorité des marchés financiers Place de la Cité, tour Cominar 2640, boulevard Laurier, bureau 400 Québec (Québec) G1V 5C1